PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ANTS:

HANSL ET AL 5 PCT

PCT NO.:

PCT/AT2004/000229

FILING DATE: JUNE 30, 2004

**SERIAL NO.:** 

10/563,029

FILED: FEBRUARY 13, 2006

FOR:

OC1 0 6 2006

METHOD AND DEVICE FOR MANIPULATING LOAD CONTAINERS

## REQUEST FOR CORRECTED FILING RECEIPT

#### MAIL STOP APPLICATION OIPE

Hon. Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Attached is a copy of the Official Filing Receipt received from the Patent and Trademark Office in the above-identified application, which is in need of correction, as follows:

Under "Assignment For Published Patent Application":

TWG TRANSPORTGERATE GMBH, Wels, AUSTRIA

should be corrected to read:

TGW TRANSPORTGERATE GMBH, Wels, AUSTRIA

It is respectfully requested that a corrected filing receipt be issued. As this error was on the part of the PTO, no fee should be associated with this request. However, if there should be a fee the Commissioner is hereby authorized to charge Deposit Account No. 03-2468.

Respectfully submitted,

HANSL ET AL

Frederick J. Dorchak, Keg.No.29,298 Edward R. Freedman, Reg. No. 26,048

Attorneys for Applicants

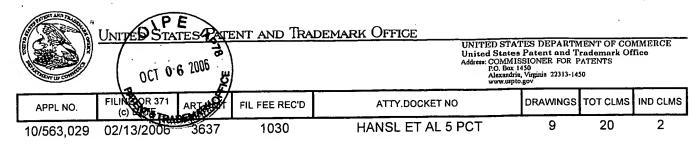
COLLARD & ROE, P.C. 1077 Northern Boulevard Roslyn, New York 11576

(516) 365-9802

FJD:rd

Enclosure: copy of Official Filing Receipt and copy of Notice of Recordation of Assignment Document and Assignment

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on October 3, 2006.



**CONFIRMATION NO. 8522** 

25889 WILLIAM COLLARD COLLARD & ROE, P.C. 1077 NORTHERN BOULEVARD ROSLYN, NY 11576 FILING RECEIPT

\*OC000000020353566\*

Date Mailed: 09/08/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

فأيهر ويديد أهراقا

Rudolf Hansl, Linz, AUSTRIA;
Harald Sehrschon, Graz, AUSTRIA;

**Assignment For Published Patent Application** 

TWG TRANSPORTGERATE GMBH, Wels, AUSTRIA

Power of Attorney: The patent practitioners associated with Customer Number <u>25889</u>.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/AT04/00229 06/30/2004

Foreign Applications

AUSTRIA AT 997/2003 06/30/2003

If Required, Foreign Filing License Granted: 09/07/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/563,029

Projected Publication Date: 12/14/2006

Non-Publication Request: No

Early Publication Request: No

Title `

Method and device for manipulating load containers

**Preliminary Class** 

108

## PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

# **ASSIGNMENT**

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor has sold and assigned, and by these presents hereby sells and assigns, unto TGW Transportgeräte GmbH name and address of Collmannstrasse 2 assignee

wpfiles\assign.frm

•	A-4600 Wels Austria (AT) (hereinafter ASSIGNEE) all right, title and interest for the United States, its territories and possessions in and to his
itle of inventio	invention relating to  Method and device for manipulating load containers
	as set forth in his United States Patent Application
	[] executed concurrently herewith
rheck one	[] executed on
	[ ] Serial No. filed
	✓ Internat. Appl. No. PCT/AT 2004/000229, Int. Filling date: 30 time 2004
	in and to said United States Patent Application including any and all divisions or continuations thereof and in and to any and all Letters Patent of the United States which may issue on any such application or for said invention, including any and
	all reissues or extensions thereof, to be held and enjoyed by said ASSIGNEE, its successors, legal representatives and
	assigns to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely
	as would have been held and enjoyed by the undersigned had this Assignment not been made;
	Each of the undersigned hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any
	and all such Letters Patent to said ASSIGNEE, its successors or assigns in accordance herewith;
	Each of the undersigned warrants and covenants that he has the full and unencumbered right to sell and assign
	the interests herein sold and assigned and that he has not executed and will not execute any document or instrument in
•	conflict herewith;
	Each of the undersigned further covenants and agrees that at any time upon request of said ASSIGNEE, its
	successors, legal representatives or assigns he will communicate to said ASSIGNEE, its successors, legal representatives
	or assigns all information known to him relating to said invention or patent application and that he will execute and deliver
	any papers, make all rightful oaths, testify in any legal proceedings and perform all other lawful acts deemed necessary or
	desirable by said ASSIGNEE, its successors, legal representatives or assigns to perfect title to said invention, to said
	application including divisions and continuations thereof and to any and all Letters Patent which may be granted therefor
	or thereon, including reissues or extensions, in said ASSIGNEE, its successors, or assigns or to assist said ASSIGNEE, its
	successors, legal representatives or assigns in obtaining, reissuing or enforcing Letters Patent of the United States for said
	invention:
•	Each of the undersigned hereby grants the firm of COLLARD & ROE, P.C., 1077 Northern Boulevard, Roslyn,
	New York 11576, U.S.A., the power to insert in this Assignment any further identification which may be necessary or
	desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.
	The Conference of the second o
	Horde Ch
	Name: Rudolf HANSL Name: Harald SEHRSCHÖN
names and	Date: 23.01, 2006 Date: 18.01.2006
signatures	
of inventors	
	Name: Name:
	Date:







### UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

AUGUST 11, 2006

PTAS



WILLIAM COLLARD COLLARD & ROE, P.C. 1077 NORTHERN BOULEVARD ROSLYN, NY 11576

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 07/10/2006

REEL/FRAME: 018090/0685

NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

HANSL, RUDOLF

DOC DATE: 01/23/2006

ASSIGNOR:

SEHRSCHON, HARALD

DOC DATE: 01/19/2006

ASSIGNEE:

PATENT NUMBER:

TGW TRANSPORTGERATE GMBH COLLMANNSTRASSE 2 A-4600 WELS, AUSTRIA

SERIAL NUMBER: 10563029

FILING DATE:

ISSUE DATE:

TITLE: METHOD AND DEVICE FOR MANIPULATING LOAD CONTAINERS

018090/0685 PAGE 2

SHAREILL COLES, EXAMINER ASSIGNMENT SERVICES BRANCH PUBLIC RECORDS DIVISION